

# XML Key Management Requirements

W3C XML Key Management Working  
Group Meeting – Dec 9<sup>th</sup>, 2001

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# Agenda

- ◆ Goals and Status
- ◆ Draft overview
- ◆ Resolved issues
- ◆ Open issues
- ◆ Next steps

# Goals

- ◆ Produce W3C Working Draft that captures XML key management requirements
  - 1<sup>st</sup> work group deliverable in charter
  - Last Call, January 2001
- ◆ Expose issues early, achieve consensus
  - Principles, scope and requirements
  - Ensure coordination with other groups

# Status

## ◆ 1st draft posted Nov 8<sup>th</sup>

Source of requirements:

- XML Key Mgmt Activity Proposal & Charter
- July Workshop Position Papers & Presentations
- XKMS version 1.1 (draft 4)
- XML Trust Center and mailing lists

## ◆ 2nd (and latest) draft posted Nov 23rd

- Incorporates feedback from list and teleconference

## ◆ No further feedback on list

# Draft Overview: Principles

## ◆ Provide Universality and Usability

- Support simple clients
- Be transport protocol agnostic but define SOAP/XMLP bindings
- Be PKI-technology agnostic but ensure compatibility with recognized technologies
- Be XML-based
- Provide extensible messaging
- Clearly define client and server behaviour

# Draft Overview: Principles...

## ◆ Be Secure

- Define transaction confidentiality and integrity options
- Address trust issues
- Design against known attacks (e.g. replay, substitution)

## ◆ Define key management requirements

## ◆ Define key information service requirements

# Draft Overview: Out of Scope

- ◆ Non-repudiation
- ◆ Expressing PKI structures in XML
- ◆ Authentication & Authorization assertions
- ◆ Defining Web Services protocol security
- ◆ Anonymous access and service
- ◆ Inter-domain trust, cross-certification
- ◆ Knowledge representation
- ◆ Trusted time issues
- ◆ Design of cryptographic algorithms

# Draft Overview: Services

## ◆ Key Registration Service

- A service for managing public key assertions
  - ◆ Single and bulk key registration
  - ◆ Revocation, recovery, re-issuance

## ◆ Key Information Service

- A service for obtaining information about public key assertions
  - ◆ KeyInfo Retrieval - Tier 0 (<ds: RetrievalMethod> processing)
  - ◆ KeyInfo Resolution (Tier 1 - Locate processing)
  - ◆ KeyInfo Verification (Tier 2 - Validate processing)



# Draft Overview: Requirements

## - Registration Service

- ◆ Support public key registration, revocation, and re-issuance
- ◆ Support private key retrieval from server
  - Roaming applications
  - Key recovery
- ◆ Define private key POP mechanism for both signing and encryption keys
- ◆ Define mechanism for out-of-band client authentication (e.g. with key derived from shared passphrase)

# Draft Overview: Requirements

## – Bulk Registration Service

- ◆ Support asynchronous registration
- ◆ Support registration of multiple keys in a single request
- ◆ Support query of pending request status
- ◆ Support template-mode requests with server generated keys

# Draft Overview: Requirements

## - Information Service

- ◆ Support for public key location given a `<ds:KeyInfo>` element
- ◆ Support for public key validation including
  - The binding of values to the key,
  - The status of the public key.
- ◆ Support for responses with variable validity period

# Draft Overview: Requirements

## - Server Interaction

### ◆ HTTP Binding

- URL specifies service and policy

### ◆ Messaging

- Web based, incl namespace and XML schema
- XML DigSig for signed requests/responses
- XML Encryption for private key protection
- Consistent Request/Response formats
  - ◆ Support nonce, deferred authentication, opaque data

### ◆ Transport Bindings

- Transport agnostic (SOAP binding must be defined)

# Resolved Issues

- ◆ Trust model/policy
  - URL conveys policy
  - No service negotiation, to enable simple client
- ◆ Security options to be highlighted, but mandatory choices deferred to protocol specs
- ◆ Privacy
  - Server may use standard P3P techniques to define and communicate registration privacy policy

# Resolved Issues...

- ◆ Request/Response security
  - TransactionId as nonce
  - URL returned in response context
  - XML Signature of XKMS response supported in XKMS messaging
- ◆ No dependency on WS-Security

# Open Issues

- ◆ Pending status (asynchronous registration)
- ◆ Optional items
- ◆ Consistency with SAML messages versus changes from v1.1
- ◆ Trust model/policy
  - Support for multiple PKI roots
  - Requestor role passed to server?
  - Is URI fine?
- ◆ Limits to SOAP linkage (Faults/integrity)

# Open Issues...

## ◆ Request/Response security

- How to support “deferred authentication”
  - ◆ Request digest returned in response? Digest of <Query>?

## ◆ Roaming

- Should it be mentioned in this version? If so, how is it “specified” in XKMS?

## ◆ Other open issues?



# Next steps

- ◆ Validate requirements with recent XKMS activities
- ◆ Coordinate with external activities
- ◆ Review Requirements draft
- ◆ Last Call for Requirements

# Next Steps: Validate with recent XKMS activities

- ◆ Review XKMS 2.0 draft
- ◆ Review Bulk Registration draft
- ◆ Examine experience with XKMS test implementations

# Next Steps:

## External Activity Coordination

- ◆ W3C XML Protocol (including SOAP)
- ◆ W3C Signature and Encryption
- ◆ Oasis Trust Center, especially SAML
- ◆ W3C Internationalization Interest Group
- ◆ W3C Architecture board
- ◆ W3C XML Core workgroup

# Next Steps: Requirements

- ◆ Review requirements
  - Do they meet your key management needs?
  - Are they aligned with other activities?
  - Are there any gaps?
  - Are they consistent with XKMS experience?
  - Are they consistent with XKMS and Bulk Registration drafts?
- ◆ Send comments to the editors and mailing list by Jan 11th
- ◆ Last Call draft completed by Jan 25, 2002

# XML Key Management Requirements Info

## ◆ XML Key Management WG

<http://www.w3.org/2001/XKMS/>

- Latest Requirements draft
- Mail list instructions

## ◆ XKMS list archive

<http://lists.w3.org/Archives/Public/www-xkms-ws/>

(temporary mail list) [www-xkms-ws@w3c.org](mailto:www-xkms-ws@w3c.org)

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