

1 2.4 Document Subsets

2 Some applications require the ability to create a physical
3 representation for an XML document subset (other than the
4 one generated by default, which can be a proper subset of
5 the document if the comments are omitted). Implementations
6 of XML canonicalization that are based on XPath can
7 provide this functionality with little additional overhead by
8 accepting a node-set as input rather than an octet stream.
9 The processing of an element node *E* MUST be modified
10 slightly when an XPath node-set is given as input and **the**
11 **element's parent is** omitted from the node-set. This is
12 necessary because omitted nodes SHALL not break the
13 inheritance rules of inheritable attributes [\[C14N-Issues\]](#)
14 defined in the xml namespace.

Frederick Hirsch 11/5/07 2:52 PM

Deleted: some of the

Frederick Hirsch 11/5/07 2:53 PM

Deleted: ancestors are

15 [Definition:] **Simple inheritable attributes** are attributes
16 that have a value that requires at most a simple
17 redeclaration. This redeclaration is done by supplying a new
18 value in the child axis. The redeclaration of a simple
19 inheritable attribute *A* contained in one of *E*'s ancestors is
20 done by supplying a value to an attribute *Ae* inside *E* with the
21 same name. Simple inheritable attributes are `xml:lang` and
22 `xml:space`.

23 The method for processing the attribute axis of an element *E*
24 in the node-set is hence enhanced. All element nodes along
25 *E*'s ancestor axis are examined for the nearest occurrences
26 of simple inheritable attributes in the xml namespace, such
27 as `xml:lang` and `xml:space` (whether or not they are in the
28 node-set). From this list of attributes, any simple inheritable
29 attributes that are already in *E*'s attribute axis (whether or not
30 they are in the node-set) are removed. Then,

31 lexicographically merge this attribute list with the nodes of
32 *E*'s attribute axis that are in the node-set. The result of
33 visiting the attribute axis is computed by processing the
34 attribute nodes in this merged attribute list.

35 The `xml:id` attribute is not a simple inheritable attribute and
36 no processing of these attributes is performed.

37 The `xml:base` attribute is not a simple inheritable attribute
38 and requires special processing beyond a simple
39 redeclaration. Hence the processing of *E*'s attribute axis
40 needs to be enhanced further. A "join-URI-References"
41 function is used for `xml:base` fix up. It incorporates `xml:base`
42 attribute values from omitted `xml:base` attributes and
43 updates the `xml:base` attribute value of the element being
44 fixed up, as follows.

45 An `xml:base` fixup is performed on an element *E* as follows.
46 Let *E* be an element in the node set whose ancestor axis
47 contains successive elements *En...E1* (in reverse document
48 order) that are omitted and *E=En+1* is included. (It is
49 important to note that *En..E1* is for contiguously omitted
50 elements, for example only *e2* in the example in section 3.8.)
51 The fix-up is only performed if at least one of *E1 ... En* had
52 an `xml:base` attribute. In that case let *X1 ... Xm* be the values
53 of the `xml:base` attributes on *E1 ... En+1* (in document order,
54 from outermost to innermost, *m* <= *n+1*). The sequence of
55 values is reduced in reverse document order to a single
56 value by first combining *Xm* with *Xm-1*, then the result with
57 *Xm-2*, and so on by calling the "join-URI-References"
58 function until the new value for *E*'s `xml:base` attribute
59 remains. The result may also be null or empty (`xml:base=""`)
60 in which case `xml:base` MUST NOT be rendered.

Frederick Hirsch 11/5/07 5:23 PM

Deleted:

Frederick Hirsch 11/5/07 5:36 PM

Deleted: ,

Frederick Hirsch 11/5/07 5:36 PM

Deleted: which

Frederick Hirsch 11/5/07 5:42 PM

Deleted: takes any URI (Base) from an ancestor and joins a relative URI of *E* (*R*) (in most cases after the last slash) of the former and then normalizes the result. We describe here a simple method for providing this functionality similar to that found in sections 5.2.1, 5.2.2, and 5.2.4. of [RFC 3986](#) with the following modifications:
<#>Perform [RFC 3986](#) section 5.2.1. "Pre-parse the Base URI" modified as follows.
<#>The scheme component is not required in the base URI (Base). (i.e. Base.scheme may be null)
<#>Perform [RFC 3986](#) section 5.2.2. "Transform References" modified as follows to ignore the fragment part of *R*.
<#>After parsing *R* set *R.fragment* = null
<#>5.2.4. "Remove Dot Segments" is modified to keep leading "." segments and to prevent the erroneous creation of an output that looks like a net path. (seg/././pseudo-netpath/seg/file.ext)
<#>several changes as in "Remove Dot Segments" ... (see Appendix)

Frederick Hirsch 11/5/07 5:43 PM

Formatted: Font:Courier, 12 pt

Frederick Hirsch 11/5/07 5:35 PM

Deleted: This function may also be called with the URI to be fixed up (*R*) being null (i.e. when no `xml:base` attribute exists in *E*) or empty "" (`xml:base=""`). The base URI (Base) may also be unknown in which case the Algorithm is performed with Base.scheme = null, Base.authority = null, Base.path = "" and Base.query = nu[... [1]

Frederick Hirsch 11/5/07 5:21 PM

Formatted: Font:Bold, Italic

Frederick Hirsch 11/5/07 5:20 PM

Formatted: Font:Courier

Frederick Hirsch 11/5/07 5:39 PM

Deleted: n

Frederick Hirsch 11/5/07 5:39 PM

Deleted: has

Frederick Hirsch 11/5/07 5:23 PM

Deleted:

Frederick Hirsch 11/5/07 5:40 PM

Deleted: described previously

61 Note that this xml:base fixup is only performed if an element
62 with an xml:base attribute is removed. Specifically, it is not
63 performed if the element is present but the attribute is
64 removed.

65 The join-URI-References function takes an xml:base
66 attribute value from an omitted element and combines it with
67 other contiguously omitted values to create a value for an
68 updated xml:base attribute. A simple method for doing this is
69 similar to that found in sections 5.2.1, 5.2.2 and 5.2.4 of RFC
70 3986 with the following modifications:

- 71 • Perform RFC 3986 section 5.2.1. " Pre-parse the Base
72 URI" modified as follows.
 - 73 ○ The scheme component is not required in the base
74 URI (Base). (i.e. Base.scheme may be null)
 - 75 ○ Replace a trailing ".." segment with "../" segment
76 before processing.
- 77
- 78 • 5.2.4. "Remove Dot Segments" is modified as follows:
 - 79 ○ Keep leading "../" segments
 - 80 ○ Replace multiple consecutive "/" characters with a
81 single "/" character.
 - 82 ○ Append a "/" character to a trailing ".." segment
- 83
- 84 • Perform RFC 3986 section 5.2.2. "Transform
85 References" modified as follows to ignore the fragment
86 part of R
 - 87 ○ After parsing R set R.fragment = null
- 88
- 89 • The algorithm is modified to ensure that a combination
90 of two xml:base attribute values that include relative
91 path components (i.e., path components that do not
92 begin with a '/' character) results in an attribute value

Frederick Hirsch 11/5/07 5:42 PM
Formatted: Bullets and Numbering

Frederick Hirsch 11/6/07 10:54 AM
Formatted: Bulleted + Level: 1 + Aligned
at: 0.25" + Tab after: 0.5" + Indent at:
0.5"

Frederick Hirsch 11/6/07 10:50 AM
Formatted: Bullets and Numbering

Frederick Hirsch 11/6/07 10:54 AM
Formatted: Font:16 pt

Frederick Hirsch 11/6/07 10:54 AM
Formatted: Bulleted + Level: 2 + Aligned
at: 0.75" + Tab after: 1" + Indent at: 1"

Frederick Hirsch 11/6/07 10:54 AM
Formatted: Font:16 pt

Frederick Hirsch 11/6/07 10:54 AM
Formatted: Font:16 pt

Frederick Hirsch 11/6/07 10:54 AM
Formatted: Bullets and Numbering

Frederick Hirsch 11/6/07 11:06 AM
Formatted: Bullets and Numbering

Frederick Hirsch 11/12/07 8:32 AM
Formatted: Indent: Left: 0.75"

Frederick Hirsch 11/12/07 8:32 AM
Formatted: Bullets and Numbering

that is a relative path component.

Then, lexicographically merge this fixed up attribute with the nodes of E 's attribute axis that are in the node-set. The result of visiting the attribute axis is computed by processing the attribute nodes in this merged attribute list.

Attributes in the XML namespace other than `xml:base`, `xml:id`, `xml:lang`, and `xml:space` MUST be processed as ordinary attributes.

3.8 Document Subsets and XML Attributes

Input Document	<pre><!DOCTYPE doc [<!ATTLIST e2 xml:space (default preserve) <!ATTLIST e3 id ID #IMPLIED> > <doc xmlns="http://www.ietf.org" xmlns:ba xml:base="something/else"> <e1> <e2 xmlns="" xml:id="E2"> <e3 id="E3" xml:ba </e2> </e1> </doc></pre>
Document Subset Expression	<pre><!-- Evaluate with declaration xmlns: (//. //@* //namespace::*) [self::ietf:e1 or (parent::ietf:e1 or count(id("E3") ancestor-or-self::n self::node())]</pre>
Canonical Form	<pre><e1 xmlns="http://www.ietf.org" xmlns: xml:base="something/else"><e3 xmlns= xml:space="preserve"></e3></e1></pre>

Demonstrates:

Frederick Hirsch 11/6/07 11:07 AM
Formatted: Space After: 0 pt, Tabs:
0.15", Left

Frederick Hirsch 11/5/07 5:12 PM
Deleted: http://www.example.com/

Frederick Hirsch 11/5/07 5:13 PM
Deleted: ../

Frederick Hirsch 11/5/07 5:14 PM
Deleted: http://www.example.com/

Frederick Hirsch 11/5/07 5:15 PM
Deleted: http://www.example.com

- 105 • `xml:id` not inherited.
- 106 • simple inheritable XML attribute inherited (`xml:space`)
- 107 • `xml:base` fixup performed

108
109
110
111
112
113
114
115
116
117

Appendix A

Remove text in Example A up to and including “Some Examples”, retain table. Add following text before table:

The following informative table outlines example results of the modified Remove Dot Segments algorithm described in Section 2.4.

Frederick Hirsch 11/6/07 11:04 AM
Formatted: Font:Italic

Frederick Hirsch 11/6/07 11:04 AM
Formatted: Font:Italic

Frederick Hirsch 11/6/07 11:05 AM
Formatted: Font:Times New Roman, 12 pt