

2.4 Document Subsets

Some applications require the ability to create a physical representation for an XML document subset (other than the one generated by default, which can be a proper subset of the document if the comments are omitted). Implementations of XML canonicalization that are based on XPath can provide this functionality with little additional overhead by accepting a node-set as input rather than an octet stream. The processing of an element node *E* MUST be modified slightly when an XPath node-set is given as input and **the element's parent is** omitted from the node-set. This is necessary because omitted nodes SHALL not break the inheritance rules of inheritable attributes [\[C14N-Issues\]](#) defined in the xml namespace.

[Definition:] **Simple inheritable attributes** are attributes that have a value that requires at most a simple redeclaration. This redeclaration is done by supplying a new value in the child axis. The redeclaration of a simple inheritable attribute *A* contained in one of *E*'s ancestors is done by supplying a value to an attribute *A_e* inside *E* with the same name. Simple inheritable attributes are `xml:lang` and `xml:space`.

The method for processing the attribute axis of an element *E* in the node-set is hence enhanced. All element nodes along *E*'s ancestor axis are examined for the nearest occurrences of simple inheritable attributes in the xml namespace, such as `xml:lang` and `xml:space` (whether or not they are in the node-set). From this list of attributes, any simple inheritable attributes that are already in *E*'s attribute axis (whether or not they are in the node-set) are removed. Then,

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lexicographically merge this attribute list with the nodes of E 's attribute axis that are in the node-set. The result of visiting the attribute axis is computed by processing the attribute nodes in this merged attribute list.

The `xml:id` attribute is not a simple inheritable attribute and no processing of these attributes is performed.

The `xml:base` attribute is not a simple inheritable attribute and requires special processing beyond a simple redeclaration. Hence the processing of E 's attribute axis needs to be enhanced further. A "join-URI-References" function is used for `xml:base` fix up. It incorporates `xml:base` attribute values from omitted `xml:base` attributes and updates the `xml:base` attribute value of the element being fixed up, as follows.

An `xml:base` fixup is performed on an element E as follows. Let E be an element in the node set whose ancestor axis contains successive elements $E_n \dots E_1$ (in reverse document order) that are omitted and $E = E_{n+1}$ is included. (It is important to note that $E_n \dots E_1$ is for contiguously omitted elements, for example only e_2 in the example in section 3.8.) The fix-up is only performed if at least one of $E_1 \dots E_n$ had an `xml:base` attribute. In that case let $X_1 \dots X_m$ be the values of the `xml:base` attributes on $E_1 \dots E_{n+1}$ (in document order, from outermost to innermost, $m \leq n+1$). The sequence of values is reduced in reverse document order to a single value by first combining X_m with X_{m-1} , then the result with X_{m-2} , and so on by calling the "join-URI-References" function until the new value for E 's `xml:base` attribute remains. The result may also be null or empty (`xml:base=""`) in which case `xml:base` MUST NOT be rendered.

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Deleted: takes any URI (Base) from an ancestor and joins a relative URI of E (R) (in most cases after the last slash) of the former and then normalizes the result. We describe here a simple method for providing this functionality similar to that found in sections 5.2.1, 5.2.2, and 5.2.4. of [RFC 3986](#) with the following modifications:
<#>Perform [RFC 3986](#) section 5.2.1. "Pre-parse the Base URI" modified as follows.
<#>The scheme component is not required in the base URI (Base). (i.e. Base.scheme may be null)
<#>Perform [RFC 3986](#) section 5.2.2. "Transform References" modified as follows to ignore the fragment part of R .
<#>After parsing R set R .fragment = null
<#>5.2.4. "Remove Dot Segments" is modified to keep leading "../" segments and to prevent the erroneous creation of an output that looks like a net path. (seg/../../pseudo-netpath/seg/file.ext)
<#>several changes as in "Remove Dot Segments" ... (see Appendix)

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Deleted: This function may also be called with the URI to be fixed up (R) being null (i.e. when no `xml:base` attribute exists in E) or empty "" (`xml:base=""`). The base URI (Base) may also be unknown in which case the Algorithm is performed with Base.scheme = null, Base.authority = null, Base.path = "" and Base.query = null [1]

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Note that this xml:base fixup is only performed if an element with an xml:base attribute is removed. Specifically, it is not performed if the element is present but the attribute is removed.

The join-URI-References function takes an xml:base attribute value from an omitted element and combines it with other contiguously omitted values to create a value for an updated xml:base attribute. A simple method for doing this is similar to that found in sections 5.2.1, 5.2.2 and 5.2.4 of RFC 3986 with the following modifications:

- Perform RFC 3986 section 5.2.1. "Pre-parse the Base URI" modified as follows.
 - The scheme component is not required in the base URI (Base). (i.e. Base.scheme may be null)
- 5.2.4. "Remove Dot Segments" is modified as follows:
 - Keep leading "../" segments
 - Replace multiple consecutive "/" characters with a single "/" character.
 - Append a "/" character to a trailing ".."
- Perform RFC 3986 section 5.2.2. "Transform References" modified as follows to ignore the fragment part of R
 - After parsing R set R.fragment = null

Then, lexicographically merge this fixed up attribute with the nodes of E's attribute axis that are in the node-set. The result of visiting the attribute axis is computed by processing the attribute nodes in this merged attribute list.

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Attributes in the XML namespace other than `xml:base`, `xml:id`, `xml:lang`, and `xml:space` MUST be processed as ordinary attributes.

3.8 Document Subsets and XML Attributes

Input Document	<pre><!DOCTYPE doc [<!ATTLIST e2 xml:space (default preserve) <!ATTLIST e3 id ID #IMPLIED> > <doc xmlns="http://www.ietf.org" xmlns:ba xml:base="something/else"> <e1> <e2 xmlns="" xml:id="abc" xml:space="preserve"> <e3 id="E3" xml:base="something/else"> </e2> </e1> </doc></pre>
Document Subset Expression	<pre><!-- Evaluate with declaration xmlns:ba="http://www.ietf.org" --> (//. //@* //namespace::*) [self::ietf:e1 or (parent::ietf:e1 or count(id("E3") ancestor-or-self::ietf:e1) self::node()]</pre>
Canonical Form	<pre><e1 xmlns="http://www.ietf.org" xmlns:ba="http://www.ietf.org" xml:base="something/else"><e3 xmlns="http://www.ietf.org" xml:space="preserve"></e3></e1></pre>

Demonstrates:

- `xml:id` not inherited.
- simple inheritable XML attribute inherited (`xml:space`)
- `xml:base` fixup performed

[Appendix A](#)

Remove text in Example A up to and including "Some Examples", retain table. Add following text before table:

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The following informative table outlines example results of the modified Remove Dot Segments algorithm described in Section 2.4.