

## 1 2.4 Document Subsets

2 Some applications require the ability to create a physical  
3 representation for an XML document subset (other than the  
4 one generated by default, which can be a proper subset of  
5 the document if the comments are omitted). Implementations  
6 of XML canonicalization that are based on XPath can  
7 provide this functionality with little additional overhead by  
8 accepting a node-set as input rather than an octet stream.  
9 The processing of an element node *E* MUST be modified  
10 slightly when an XPath node-set is given as input and  
11 element's **parent is** omitted from the node-set. This is  
12 necessary because omitted nodes SHALL not break the  
13 inheritance rules of inheritable attributes [\[C14N-Issues\]](#)  
14 defined in the xml namespace.

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15 [Definition:] **Simple inheritable attributes** are attributes  
16 that have a value that requires at most a simple  
17 redeclaration. This redeclaration is done by supplying a new  
18 value in the child axis. The redeclaration of a simple  
19 inheritable attribute *A* contained in one of *E*'s ancestors is  
20 done by supplying a value to an attribute *A<sub>e</sub>* inside *E* with the  
21 same name. Simple inheritable attributes are `xml:lang` and  
22 `xml:space`.

23 The method for processing the attribute axis of an element *E*  
24 in the node-set is hence enhanced. All element nodes along  
25 *E*'s ancestor axis are examined for the nearest occurrences  
26 of simple inheritable attributes in the xml namespace, such  
27 as `xml:lang` and `xml:space` (whether or not they are in the  
28 node-set). From this list of attributes, any simple inheritable  
29 attributes that are already in *E*'s attribute axis (whether or not  
30 they are in the node-set) are removed. Then,

31 lexicographically merge this attribute list with the nodes of  
32 *E*'s attribute axis that are in the node-set. The result of  
33 visiting the attribute axis is computed by processing the  
34 attribute nodes in this merged attribute list.

35 The `xml:id` attribute is not a simple inheritable attribute and  
36 no processing of these attributes is performed.

37 The `xml:base` attribute is not a simple inheritable attribute  
38 and requires special processing beyond a simple  
39 redeclaration. Hence the processing of *E*'s attribute axis  
40 needs to be enhanced further. A "join-URI-References"  
41 function is used for `xml:base` fix up. It incorporates `xml:base`  
42 attribute values from omitted `xml:base` attributes and  
43 updates the `xml:base` attribute value of the element being  
44 fixed up, as follows.

45 An `xml:base` fixup is performed on an element *E* as follows.  
46 Let *E* be an element in the node set whose ancestor axis  
47 contains successive elements *En...E1* (in reverse document  
48 order) that are omitted and *E=En+1* is included. (It is  
49 important to note that *En..E1* is for contiguously omitted  
50 elements, for example only *e2* in the example in section 3.8.)  
51 The fix-up is only performed if at least one of *E1 ... En* had  
52 an `xml:base` attribute. In that case let *X1 ... Xm* be the values  
53 of the `xml:base` attributes on *E1 ... En+1* (in document order,  
54 from outermost to innermost, *m <= n+1*). The sequence of  
55 values is reduced in reverse document order to a single  
56 value by first combining *Xm* with *Xm-1*, then the result with  
57 *Xm-2*, and so on by calling the "join-URI-References"  
58 function until the new value for *E*'s `xml:base` attribute  
59 remains. The result may also be null or empty (`xml:base=""`)  
60 in which case `xml:base` MUST NOT be rendered.

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Deleted: takes any URI (Base) from an ancestor and joins a relative URI of *E* (*R*) (in most cases after the last slash) of the former and then normalizes the result. We describe here a simple method for providing this functionality similar to that found in sections 5.2.1, 5.2.2, and 5.2.4. of [RFC 3986](#) with the following modifications:  
<#>Perform [RFC 3986](#) section 5.2.1. "Pre-parse the Base URI" modified as follows.  
<#>The scheme component is not required in the base URI (Base). (i.e. Base.scheme may be null)  
<#>Perform [RFC 3986](#) section 5.2.2. "Transform References" modified as follows to ignore the fragment part of *R*.  
<#>After parsing *R* set *R.fragment* = null  
<#>5.2.4. "Remove Dot Segments" is modified to keep leading "." segments and to prevent the erroneous creation of an output that looks like a net path. (seg/././pseudo-netpath/seg/file.ext)  
<#>several changes as in "Remove Dot Segments" ... (see Appendix)

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61 Note that this xml:base fixup is only performed if an element  
62 with an xml:base attribute is removed. Specifically, it is not  
63 performed if the element is present but the attribute is  
64 removed.

65 The join-URI-References function takes an xml:base  
66 attribute value from an omitted element and combines it with  
67 other contiguously omitted values to create a value for an  
68 updated xml:base attribute. A simple method for doing this is  
69 similar to that found in sections 5.2.1, 5.2.2. and 5.2.4. of  
70 RFC 3986 with the following modifications:

- 71 • Perform RFC 3986 section 5.2.1. " Pre-parse the Base  
72 URI" modified as follows.
  - 73 ○ The scheme component is not required in the base  
74 URI (Base). (i.e. Base.scheme may be null)
- 75 • Perform RFC 3986 section 5.2.2. "Transform  
76 References" modified as follows to ignore the fragment  
77 part of R
  - 78 ○ After parsing R set R.fragment = null
- 79 • 5.2.4. "Remove Dot Segments" is modified to keep  
80 leading "../" segments and to prevent the erroneous  
81 creation of an output that looks like a net path.  
82 (seg/../../pseudo-netpath/seg/file.ext)

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83  
84 Then, lexicographically merge this fixed up attribute with the  
85 nodes of *E*'s attribute axis that are in the node-set. The result  
86 of visiting the attribute axis is computed by processing the  
87 attribute nodes in this merged attribute list.

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88 Attributes in the XML namespace other than xml:base,  
89 xml:id, xml:lang, and xml:space MUST be processed as  
90 ordinary attributes.

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92 3.8 Document Subsets and XML Attributes

<p><b>Input Document</b></p>	<pre>&lt;!DOCTYPE doc [ &lt;!ATTLIST e2 xml:space (default preserve) &lt;!ATTLIST e3 id ID #IMPLIED&gt; ]&gt; &lt;doc xmlns="http://www.ietf.org" xmlns:ba="http://www.example.com" xml:base="something/else"&gt;   &lt;e1&gt;     &lt;e2 xmlns="" xml:id="E2" xml:space="preserve"&gt;       &lt;e3 id="E3" xml:base="something/else"&gt;       &lt;/e2&gt;     &lt;/e1&gt;   &lt;/doc&gt;</pre>
<p><b>Document Subset Expression</b></p>	<pre>&lt;!-- Evaluate with declaration xmlns:ba="http://www.example.com" --&gt; (//.   //@*   //namespace::* ) [   self::ietf:e1 or (parent::ietf:e1 or   count(id("E3") ancestor-or-self::node())=1 self::node() ]</pre>
<p><b>Canonical Form</b></p>	<pre>&lt;e1 xmlns="http://www.ietf.org" xmlns:ba="http://www.example.com" xml:base="something/else"&gt;&lt;e3 xmlns="http://www.ietf.org" xml:space="preserve"&gt;&lt;/e3&gt;&lt;/e1&gt;</pre>

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93 Demonstrates:

- 94 • xml:id not inherited.
- 95 • simple inheritable XML attribute inherited (xml:space)
- 96 • xml:base fixup performed

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